

A dichotomy for countable unions of smooth Borel equivalence relations

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- We say that E **continuously embeds** into F , denoted by $E \sqsubseteq_c F$, if there is a continuous embedding from E to F .

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We have the following initial segment of the hierarchy of Borel equivalence relations:

$$\Delta_1 <_B \Delta_2 <_B \dots <_B \Delta_{\mathbb{N}} <_B \Delta_{\mathbb{R}} <_B \mathbb{E}_0,$$

which is exhaustive in the sense that every Borel equivalence relation is either bireducible with one of the elements of this initial segment, or is strictly greater than \mathbb{E}_0 .

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It is easy to see that a Borel equivalence E is hypersmooth iff $E \leq_B \mathbb{E}_1$.

Proposition (Folklore)

The relation \mathbb{E}_1 is not essentially countable.

Kechris–Louveau’s dichotomy

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In particular, \mathbb{E}_1 is an immediate successor of \mathbb{E}_0 under \leq_B .

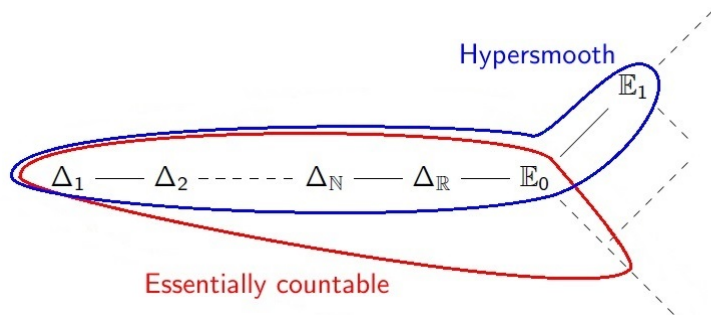
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There are other examples, for instance the disjoint union of \mathbb{E}_1 and of a non-hypersmooth countable Borel equivalence relation.

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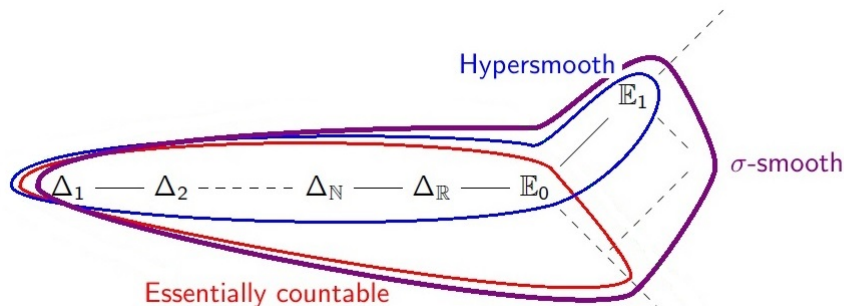
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A Borel equivalence relation E on a Polish space X is said to be **idealistic** (resp. **strongly idealistic**) if there is an E -invariant assignment $x \mapsto \mathcal{I}_x$ sending each point in X to a σ -ideal on X in such a way that:

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The equivalence relation E is said to be **ccc idealistic** (resp. **strongly ccc idealistic**) if for every $x \in X$ and every uncountable family $(B_i)_{i \in I}$ of pairwise disjoint Borel subsets of X , one of the B_i 's is in \mathcal{I}_x .

Group actions

Given a Borel action $G \curvearrowright X$ of a Polish group on a Polish space, we can consider the **orbit equivalence relation** associated to this action, i.e. the analytic equivalence relation E_G^X on X defined by:

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Theorem (Feldman–Moore)

Let E be a countable Borel equivalence relation on a Polish space X . Then there is a Borel action $\Gamma \curvearrowright X$ of a countable discrete group such that $E = E_\Gamma^X$.

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Conjecture (Kechris–Louveau)

Let E be a Borel equivalence relation on a Polish space. Then exactly one of the following two conditions holds:

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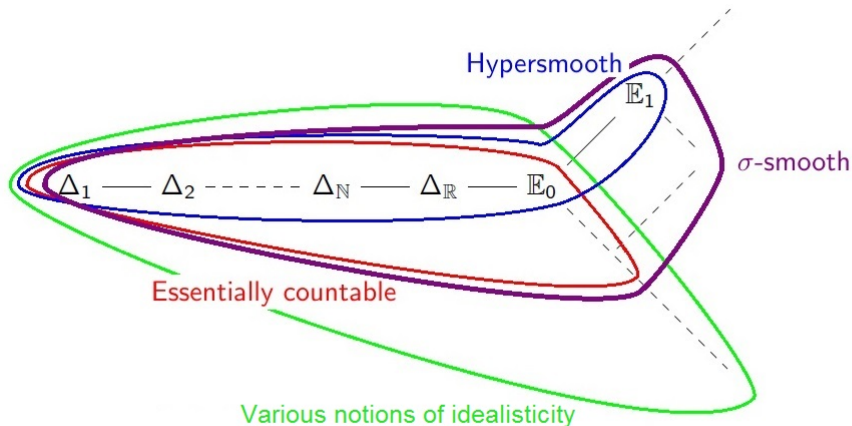
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Kechris–Louveau’s dichotomy solves this conjecture in the special case of hypersmooth Borel equivalence relations. Our dichotomy solves it in the special case of σ -smooth Borel equivalence relations.

A picture



Theorem (Rephrasing of the main theorem)

Let E be a Borel equivalence relation on a Polish space. Suppose that $\mathbb{E}_1 \not\leq_B E$ (this holds, for instance, if E is ccc idealistic).

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Let E be a Borel equivalence relation on a Polish space. Suppose that $\mathbb{E}_1 \not\leq_B E$ (this holds, for instance, if E is ccc idealistic). If E is a countable union of essentially countable Borel subequivalence relations, then E is essentially countable.

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Definition

An equivalence relation E on a Polish space X is said to be **potentially F_σ** if it is Borel reducible to an F_σ equivalence relation on a Polish space.

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Definition

For every $n \in \mathbb{N}$, let E_n be an equivalence relation on a set X_n . The **disjoint union** of the E_n 's is the equivalence relation E on $X := \bigsqcup_{n \in \mathbb{N}} X_n$ defined by $x E x' \Leftrightarrow (\exists n \in \mathbb{N})(x, x' \in X_n \text{ and } x E_n x')$.

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If \mathcal{F} is a family of Borel equivalence relations on Polish spaces, denote by $\mathcal{F}^{\leq B}$ the family of all equivalence relations on Polish spaces that are Borel reducible to an element of \mathcal{F} , and by $\sigma(\mathcal{F})$ the class of all equivalence relations on Polish spaces that can be expressed as countable unions of subequivalence relations belonging to \mathcal{F} .

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Our dichotomy for σ -smooth equivalence relations is the special case when \mathcal{F} is the class of all countable Borel equivalence relations.

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This proves Kechris–Louveau’s conjecture for the class of equivalence relations that can be expressed as countable unions of subequivalence relations that are Borel reducible to strongly ccc idealistic potentially F_σ equivalence relations on Polish spaces.

Definition

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- For $A \subseteq X$, denote by $[A]_F$ the F -saturation of A , that is, the set $\{x \in X \mid (\exists x' \in A)(x F x')\}$.

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- The binary relation F^\cap on $X^\mathbb{N}$ is defined by $x F^\cap x'$ iff $[x(\mathbb{N})]_F \cap [x'(\mathbb{N})]_F$ is nonempty.

Definition

Let F be an equivalence relation on a Polish space X .

- For $A \subseteq X$, denote by $[A]_F$ the F -saturation of A , that is, the set $\{x \in X \mid (\exists x' \in A)(x F x')\}$.
- The **Friedman–Stanley jump** of F is the equivalence relation F^+ on $X^\mathbb{N}$ defined by $x F^+ x'$ iff $[x(\mathbb{N})]_F = [x'(\mathbb{N})]_F$.
- The binary relation F^\cap on $X^\mathbb{N}$ is defined by $x F^\cap x'$ iff $[x(\mathbb{N})]_F \cap [x'(\mathbb{N})]_F$ is nonempty.

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- A **homomorphism** from a binary relation R on a set X to a binary relation S on a set Y is a mapping $f: X \rightarrow Y$ such that $(f \times f)[R] \subseteq S$.

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Definition

- A **homomorphism** from a binary relation R on a set X to a binary relation S on a set Y is a mapping $f: X \rightarrow Y$ such that $(f \times f)[R] \subseteq S$.
- A **reduction** from R to S is a mapping $f: X \rightarrow Y$ which is both a homomorphism from R to S and from $\sim R$ to $\sim S$.

Proposition

Let E be an equivalence relation on a Polish space X , and F be a strongly idealistic Borel equivalence relation on a Polish space Y .

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Proposition

Let E and F be Borel equivalence relations on Polish spaces X and Y , respectively. The following are equivalent:

- *E Borel reduces to $(F \times \Delta_{\mathbb{N}})^\cap$;*
- *E is a countable union of subequivalence relations that are Borel reducible to $F \times \Delta_{\mathbb{N}}$.*

Another consequence

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Let E be an equivalence relation on a Polish space which is Borel reducible to a ccc idealistic Borel equivalence relation.

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Let E be an equivalence relation on a Polish space which is Borel reducible to a ccc idealistic Borel equivalence relation. Let \tilde{F} be a strongly idealistic potentially F_σ equivalence relation on a Polish space and let $F = \tilde{F} \times \Delta_{\mathbb{N}}$.

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Moreover, if these conditions are satisfied, then $E \leq_B F^+$.

Thank you for your attention!