

# The Wadge hierarchy on Zariski topologies

Joint work with C. Massaza

# Basic definition

Let  $X, Y$  be topological spaces,  $A \subseteq X, B \subseteq Y$ .

Then  $A$  *continuously reduces* — or *Wadge reduces* — to  $B$  iff

$$\exists f : X \rightarrow Y \text{ continuous s.t. } A = f^{-1}(B)$$

This is denoted  $A \leq_W B$ .

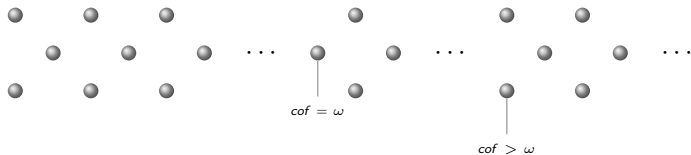
If  $A \leq_W B \leq_W A$ , write  $A \equiv_W B$ .

The restriction of  $\leq_W$  to the subsets of a fixed topological space  $X$  is a preorder on  $\mathcal{P}(X)$ , the powerset of  $X$ . This restriction is sometimes denoted  $\leq_W^X$ , to point out the ambient space.

The goal is to understand the structure of this preorder for various topological spaces.

# Examples

- (Wadge) The Wadge hierarchy on the Baire space  $(\mathbb{N}^{\mathbb{N}}, \mathcal{T})$ .  
On the Borel sets it looks like



- Similar behaviours for Polish zero-dimensional spaces.
- (Schlicht) For positive-dimensional Polish spaces, there are antichains of size the continuum among the Borel sets.
- (Damiani, C.) Let  $\tau$  be the compact complement topology on  $\mathbb{N}^{\mathbb{N}}$ . Then the longest antichains among sets in  $\Sigma_2^0(\mathcal{T}) \cup \Pi_2^0(\mathcal{T})$  have size 4.

# Affine varieties

Let an infinite commutative field  $k$  be fixed.

## Definition

- An *affine variety* in  $k^n$  is the set  $V(I)$  of the common zeros of a collection of polynomials  $I \subseteq k[X_1, \dots, X_n]$ .  
Equivalently, it is the set  $V(I)$  of the common zeros of an ideal of polynomials  $I \subseteq k[X_1, \dots, X_n]$ .  
Equivalently, it is the set  $V(f_1, \dots, f_r)$  of the common zeros of a finite list of polynomials  $f_1, \dots, f_r \in k[X_1, \dots, X_n]$ .
- If  $\mathcal{V}$  is an affine variety, the Zariski topology on  $\mathcal{V}$  is the topology whose closed sets are the subsets of  $\mathcal{V}$  that are affine varieties themselves.

**Problem:** Understand the Wadge hierarchy on an affine variety  $\mathcal{V}$  endowed with the Zariski topology.

## Definition

Let  $\mathcal{V}$  be an affine variety.

- $\mathcal{V}$  is *irreducible* if it is not the union of two proper subvarieties; otherwise it is *reducible*.
- The *irreducible components* of  $\mathcal{V}$  are the maximal irreducible subvarieties of  $\mathcal{V}$ .
- The *dimension* of an affine variety is the biggest  $d$  such that there exists a strictly increasing chain

$$C_0 \subset C_1 \subset \dots \subset C_d$$

of non-empty irreducible subvarieties of  $\mathcal{V}$ .

It turns out that every affine variety has finitely many irreducible components. Therefore

$$\mathcal{V} = \mathcal{V}_1 \cup \dots \cup \mathcal{V}_s$$

where  $\mathcal{V}_1, \dots, \mathcal{V}_s$  are the irreducible components of  $\mathcal{V}$ . This is referred to as the (unique) *decomposition* of an affine variety in its irreducible components.

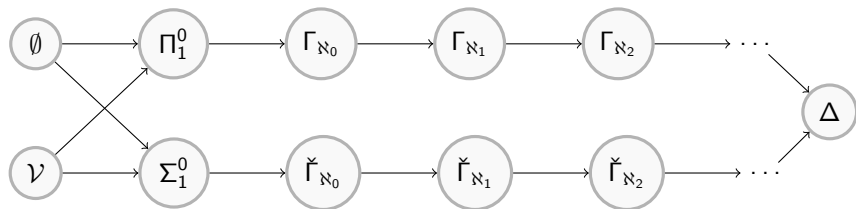
An affine variety of dimension 1 is called a *curve*.

An irreducible curve  $\mathcal{V}$  is just an infinite set endowed with the cofinite topology. Therefore,  $f : \mathcal{V} \rightarrow \mathcal{V}$  is continuous if and only if either it is constant or it is finite-to-1.

Consequently, given  $A, B \in \mathcal{P}(\mathcal{V}) \setminus \{\emptyset, \mathcal{V}\}$ , one has  $A \leq_W B$  if and only if:

- either  $A, B$  are both finite or both cofinite; or
- $\text{card}(A) \leq \text{card}(B)$  and  $\text{card}(\mathcal{V} \setminus A) \leq \text{card}(\mathcal{V} \setminus B)$

# The Wedge hierarchy on an irreducible curve



where, for  $\kappa < \text{card}(\mathcal{V})$ :

- $\Gamma_\kappa = \{A \subseteq \mathcal{V} \mid \text{card}(A) = \kappa\}$
- $\check{\Gamma}_\kappa = \{A \subseteq \mathcal{V} \mid \text{card}(\mathcal{V} \setminus A) = \kappa\}$
- $\Delta = \{A \subseteq \mathcal{V} \mid \text{card}(A) = \text{card}(\mathcal{V} \setminus A) = \text{card}(\mathcal{V})\}$

If instead  $\mathcal{V}$  consists of an irreducible curves plus some isolated points, it is enough to add to the picture the degree  $\Delta_1^0 \setminus \{\emptyset, \mathcal{V}\}$ .

# More complex curves

For more complicated curves, the situation is less easy.  
On the one hand:

## Theorem

If  $\mathcal{V}$  is any curve, then  $\leq_W$  is a wqo, actually a bqo, on  $\mathcal{P}(\mathcal{V})$ .

However:

## Theorem

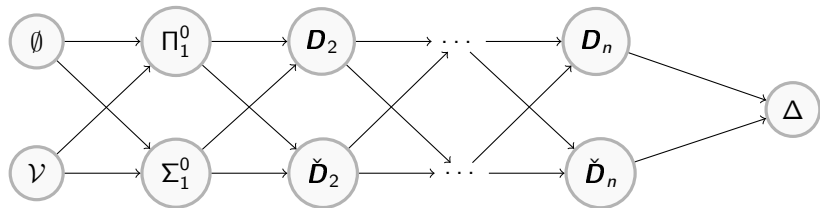
- For every  $m$  there exists a curve  $\mathcal{V}$  such that  $\leq_W$  has antichains of size  $m$
- If the curve  $\mathcal{V}$  has at least two irreducible components of cardinality  $\geq \aleph_\omega$ , then  $\leq_W$  has antichains of arbitrarily high finite cardinalities

**Problem, for later:** How many points does a curve have? Certainly  $\leq \text{card}(k)$ .



# Higher dimensions: the countable irreducible case

The Wadge hierarchy on a countable, irreducible,  $n$ -dimensional, affine variety is:



where

- $D_i =$  true  $i$ -th differences of closed sets (sets in  $\bigcup_{i \in \mathbb{N}} D_i$  are called *constructible* sets in topology)
- $\Delta = \{A \subseteq \mathcal{V} \mid$   
for some subvariety  $\mathcal{W}$ ,  $A \cap \mathcal{W}$  is dense and condense in  $\mathcal{W}\}$

# A corollary

## Definition

- (Weihrauch) If  $X$  is a second countable,  $T_0$  space, an *admissible representation* is a continuous  $\rho : Y \subseteq \mathbb{N}^{\mathbb{N}} \rightarrow X$  such that for every continuous  $\sigma : Z \subseteq \mathbb{N}^{\mathbb{N}} \rightarrow X$  there exists a continuous  $h : Z \rightarrow Y$  such that  $\sigma = \rho h$ .
- (Tang, Pequignot) If  $A, B \subseteq X$ , let

$$A \preceq_{TP}^X B \Leftrightarrow \rho^{-1}(A) \leq_W^Y \rho^{-1}(B)$$

for some/any admissible representation  $\rho$ .

**Fact.** For any second countable,  $T_0$  space  $X$ , it holds that  $\leq_W^X \subseteq \preceq_{TP}^X$ .

## Corollary

If  $\mathcal{V}$  is a countable, irreducible, affine variety, then  $\leq_W^{\mathcal{V}} = \preceq_{TP}^{\mathcal{V}}$ .

# The uncountable case: how many points are there?

## Question

Assume that  $k$  is uncountable and let  $\mathcal{V}$  be an infinite affine variety over  $k$ . What is  $\text{card}(\mathcal{V})$ ?

**Example.** If  $k$  is algebraically closed then  $\text{card}(\mathcal{V}) = \text{card}(k)$ .

## Definition

Say that  $k$  is reasonable if every affine variety over  $k$  has the same cardinality as  $k$ .

# Non-reasonable fields

## Theorem

There are non-reasonable field.

In fact:

## Theorem

For every infinite cardinals  $\lambda < \kappa$  there exist a field  $K$  of cardinality  $\kappa$  and a curve over  $K$  of cardinality  $\lambda$ .

**Proof.**

# Transversal sets

Transversal sets are a useful tool to construct continuous functions between affine varieties.

## Definition

Let  $\mathcal{V}$  be an infinite affine variety. A *transversal set* in  $\mathcal{V}$  is a subset  $T \subseteq \mathcal{V}$  such that:

- $\text{card}(T) = \text{card}(\mathcal{V})$
- $T \cap \mathcal{W}$  is finite for every proper subvariety  $\mathcal{W} \subsetneq \mathcal{V}$

Suppose that

- $T$  is a transversal set in some irreducible subvariety of  $\mathcal{V}$
- $\mathcal{W}$  is an affine variety, and  $f : \mathcal{W} \rightarrow \mathcal{V}$  is such that
  - the range of  $f$  is contained in  $T$ , and
  - $f$  is finite-to-1

then  $f$  is continuous.

# Adequate varieties and fields

## Definition

- An infinite affine variety  $\mathcal{V}$  is *adequate* if all infinite irreducible subvarieties of  $\mathcal{V}$  have the same cardinality as  $\mathcal{V}$  and admit a transversal set
- The field  $k$  is *adequate* if every infinite affine variety over  $k$  is adequate.

Therefore every adequate field is reasonable.

## Question

Is every reasonable field adequate?

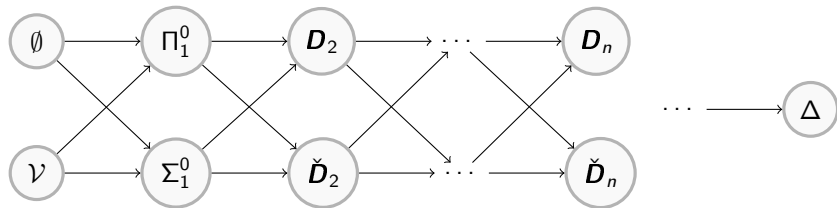
**Conjecture.** Yes.

Examples of adequate fields are:

- countable fields: using reasonability+diagonalisation
- algebraically closed fields: using reasonability+diagonalisation
- $\mathbb{R}$ : using some mild tools from differential topology

# Adequate, irreducible, affine varieties

If  $\mathcal{V}$  is an adequate, irreducible,  $n$ -dimensional affine variety, then the Wadge hierarchy is:



where  $\Delta = \{A \subseteq \mathcal{V} \mid \exists W \text{ irreducible subvariety of } \mathcal{V} \text{ s.t. both } W \cap A \text{ and } W \setminus A \text{ contain a transversal set of } W\}$ .

The Wadge hierarchy in the dotted zone of the previous picture can be wild. For instance:

## Theorem

- If  $\mathcal{V}$  contains irreducible curve  $\mathcal{C}_0, \mathcal{C}_1, \mathcal{C}_2, \dots$  such that
  - each  $\mathcal{C}_i$  is uncountable, and
  - $\mathcal{C}_i \cap \mathcal{C}_j \Leftrightarrow |i - j| \leq 1$

then  $\leq_W$  has antichains of arbitrarily high finite cardinalities

- If  $\mathcal{V}$  contains irreducible curve  $\mathcal{C}_0, \mathcal{C}_1, \mathcal{C}_2, \dots$  such that
  - all  $\mathcal{C}_i$  have the same cardinality  $\geq \aleph_\omega$ , and
  - $\mathcal{C}_i \cap \mathcal{C}_j \Leftrightarrow |i - j| \leq 1$

then  $\leq_W$  has antichains of cardinality the continuum



# Some questions

1. The term *Zariski topology* also appears in a wider context. Let  $R$  be a commutative ring, and denote

$$\text{Spec}R = \{\mathfrak{p} \mid \mathfrak{p} \text{ is a prime ideal in } R\}$$

The *Zariski topology* of  $\text{Spec}R$  is the topology generated by the closed sets

$$V(I) = \{\mathfrak{p} \in \text{Spec}R \mid I \subseteq \mathfrak{p}\}$$

where  $I$  ranges over all ideals of  $R$ .

The set of closed points in  $\text{Spec}R$  is  $\text{Max}(\text{Spec}R)$ , the set of the maximal ideals of  $R$ .

Given an affine variety  $\mathcal{V}$ , there is a homeomorphism  $\mathcal{V} \rightarrow \text{Max}(\text{Spec}\mathcal{O}(\mathcal{V}))$ . So,  $\mathcal{V}$  sits inside  $\text{Spec}\mathcal{O}(\mathcal{V})$ .

# Some questions

## Question

How is the Wadge hierarchy on  $\text{Spec}\mathcal{O}(\mathcal{V})$ ?

More generally, how is the Wadge hierarchy on  $\text{Spec}R$ ?

**Comment.** Some insight to these questions, at least in the countable case, might come from the study of  $\preceq_{TP}$  and some work in progress on the relationship between  $\leq_W$  and  $\preceq_{TP}$  on Alexandrov spaces.

# Some questions

2. The Zariski topology on affine varieties appears to be more a synthetic way to express things than a real interesting object of investigation in algebraic geometry. In other words, the category of affine varieties of real interest in algebraic geometry does not have the continuous functions  $\mathcal{V} \rightarrow \mathcal{W}$  as morphisms, but the polynomial ones.

**Definition.** If  $A, B \subseteq \mathcal{V}$ , let

$$A \leq_{pol} B \Leftrightarrow \exists f : \mathcal{V} \rightarrow \mathcal{V} \text{ polynomial s.t. } A = f^{-1}(B)$$

Notice that  $\leq_{pol} \subseteq \leq_W$ .

## Question

How is the structure of  $\leq_{pol}$ ?

# Polynomial reducibility on $k$

$\leq_{pol}$  is already non-trivial in the case  $\mathcal{V} = k$ .

$\leq_{pol}$  is much more sensible than  $\leq_W$  to the algebraic properties of  $k$ .

**An example.** If  $k$  is an ordered field, then  $\leq_{pol}, \leq_W$  coincide on  $\Pi_1^0(k) \setminus \{\emptyset, k\}$ : given  $A, B \subseteq k$  finite, non-empty, it holds that  $A \equiv_p B$ :

- $A \leq_{pol} \{0\}$ : witnessed by  $\prod_{a \in A} (X - a)$  (holds for any  $k$ )
- $\{0\} \leq_{pol} A$ : witnessed by  $X^2 + \max A$

**Question.** Is this true for any non-algebraically closed field?

# Polynomial reducibility on $k$ algebraically closed

Hence assume that  $k$  is algebraically closed.

**Proposition.** If  $A, B \in \mathcal{P}(k) \setminus \{\emptyset, k\}$  and  $A \leq_{pol} B$ , then either  $A, B$  are both finite and  $\text{card}(B) \leq \text{card}(A)$ , or  $\text{card}(A) = \text{card}(B)$ .

**Pf.** Every non-constant polynomial is surjective, and every elements has finitely many preimages.

For  $1 \leq \kappa < \text{card}(k)$  let

$$\mathcal{P}_\kappa = \{A \subseteq k \mid \text{card}(A) = \kappa\}, \quad \check{\mathcal{P}}_\kappa = \{k \setminus A \mid A \in \mathcal{P}_\kappa\}$$

Also, let  $\mathcal{P}_{fin} = \bigcup_{n \in \mathbb{N} \setminus \{0\}} \mathcal{P}_n$ .

It appears (unsurprisingly) that different tools are needed for the study of  $\leq_{pol}$  on  $\mathcal{P}_{fin}$ , and on  $\mathcal{P}_\kappa$  for infinite  $\kappa$ .

## Proposition

- $\mathcal{P}_1$  and  $\mathcal{P}_2$  each consist of a single class, say  $[A_1], [A_2]$ , respectively, and  $[A_2] \leq_{pol} [A_1]$ .
- Let  $n \geq 3$  and  $A, B \in \mathcal{P}_n$ . If  $A \leq_{pol} B$  and  $f$  is a polynomial such that  $A = f^{-1}(B)$ , then  $f$  is linear. Consequently  $A \equiv_{pol} B$ .

Therefore, denote  $\mathcal{Q}_n = \mathcal{P}_n / \equiv_{pol}$ .

# Geometric representations

Under some technical conditions, the space of orbits  $\mathcal{V}/G$  of the action by isomorphisms of an algebraic group on an affine variety

$$G \curvearrowright \mathcal{V}$$

can be endowed with the structure of affine variety.

This is always possible when  $G$  is finite.

# Geometric representations

$Sym_n$  acts on  $k^n$  by permutation. An orbit is a  $n$ -element sets, with some elements counted possibly several times.

The set of points with distinct coordinates is an open invariant set of  $k^n$ : it is the complement of the union of the hyperplanes  $x_j - x_{j'} = 0$ . Its quotient  $\mathcal{P}_n$  can be given the structure of a  $n$ -dimensional affine variety.

Each  $\equiv_{pol}$ -class is a 2-dimensional subvariety of  $\mathcal{P}_n$ .



# Geometric representations

The quotient  $\mathcal{S}_n = \mathcal{P}_n / \equiv_{pol}$  is a  $(n - 2)$ -dimensional affine variety.  
The elements of  $\mathcal{S}_n$  are the  $\equiv_{pol}$ -classes.

In other words, the restriction of  $\leq_{pol}$  to the  $\equiv_{pol}$ -classes of  $n$ -element subsets of  $k^n$  is a preorder defined on an affine variety.

This extra structure gives a framework to measure quantitatively the behaviour of  $\leq_{pol}$ , especially as many sets naturally defined using  $\leq_{pol}$  turn out to be subvarieties of some  $\mathcal{S}_n$ .

**Example.** Given  $m > n$ :

- 1 How many classes of  $\mathcal{S}_m$  reduce to a fixed class of  $\mathcal{S}_n$ ?
- 2 How many classes of  $\mathcal{S}_n$  a fixed class of  $\mathcal{S}_m$  reduces to?

The set of classes in (1) is a subvariety of  $\mathcal{S}_m$ .

The set of classes in (2) is a subvariety of  $\mathcal{S}_n$ .

Therefore questions (1) and (2) can be made quantitatively more precise by asking what is the dimension of such sets.

## Example (cont.)

- If there is no integer  $g$  such that  $\frac{m}{n} \leq g \leq \frac{m-1}{n-1}$ , then there are no  $[A] \in \mathcal{S}_m, [B] \in \mathcal{S}_n$  such that  $[A] \leq_{pol} [B]$ . In particular, given any  $n$ , the least  $m$  such that there exists  $[B] \in \mathcal{S}_m$  with  $[B] \leq_{pol} A$  is  $m = 2n - 1$ .
- For any  $[A] \in \mathcal{S}_m$ , it holds that  $\{[B] \mid [A] \leq_{pol} [B]\}$  is finite.
- For any  $[B] \in \mathcal{S}_{n-1}$ , there exists a unique  $[A] \in \mathcal{S}_{2n-1}$  such that  $[A] \leq_{pol} B$ .
- The set of  $[B] \in \mathcal{S}_4$  that reduces to the unique element of  $\mathcal{S}_2$  is a subvariety of dimension 1.

# The case of infinite and coinfinite sets

- There exist  $2^{\text{card}(k)}$  maximal elements
- If  $k \subseteq \mathbb{C}$  has chains of order type  $\zeta$