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Riemann, Euler, Gauss

Newton, Hilbert, Poincaré

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Blumenthal, Pick Tauber

Landau, Kőnig Marcinkiewicz

Krein, Noether, Thomson

More Tales of Our Forefathers

Barry Simon Mathematics and Theoretical Physics California Institute of Technology Pasadena, CA, U.S.A.



This is not a mathematics talk

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- I am not a historian and I've no faith that all that I'm telling you is true. None of the stories was made up, at least by me.
- I regret that this is mainly about forefathers and not foremothers also, although there will be one female mathematician among 22 mathematicians.



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Mostly we remember mathematicians by applying their names to theorems and to mathematical objects. In this regard, I quote The Arnold Principle. "If a notion bears a personal name, then this name is not the name of the discoverer."



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In the modern era, there is enough infrastructure that for the past 50 years, many great mathematicians quickly found important positions and lived rather dull lives (although there can be political upheavals that change that). But the lack of many university positions and limited contact between groups means that this is less true of the greats of 150-250 years ago.



So the game is who are the three greatest mathematicians.

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Riemann returned to Göttingen in 1849 where he spent the rest of his career.



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Krein, Noether, Thomson There is the celebrated short paper on the Riemann zeta function, its functional equation, the Riemann hypothesis, and his vision of the complex analytic view of the distribution of primes.



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Krein, Noether, Thomson There is the celebrated short paper on the Riemann zeta function, its functional equation, the Riemann hypothesis, and his vision of the complex analytic view of the distribution of primes. And there are papers on higher-dimensional theta functions (and Riemann–Roch) and on the Riemann approach to hypergeometric functions (and monodromy).



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I talked about Leonhard Euler (1707–83) in my first talk – the salient points:



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- Raised in Basel, his family expected him to become a pastor but Johann Bernoulli convinced Euler's father to let him become a mathematician.
- He spent his career employed by the Academies of Science, first in St. Petersburg (1727-41), then Berlin (1741-66) and then St. Petersburg (1766-83) again.





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- He spent his career employed by the Academies of Science, first in St. Petersburg (1727-41), then Berlin (1741-66) and then St. Petersburg (1766-83) again.
- A remarkable thing about that is that he was totally blind from 1766 but continued to produce many papers until his death!



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To show his influence, I want to quote the results of a 1988 poll of the top ten ???? taken by Math Intelligencer which had Euler with 3 of the top 5.



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Five Greatest Whatevers

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5 Basel formula: $\sum_{j=1}^{\infty} j^{-2} = \pi^2/6$ (Euler)



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- **4** Only 5 regular polyhedra (Euclid)
- 3 Infinitely many primes (Euclid)



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- 5 Basel formula: $\sum_{j=1}^{\infty} j^{-2} = \pi^2/6$ (Euler)
- 4 Only 5 regular polyhedra (Euclid)

Five Greatest Whatevers

- **3** Infinitely many primes (Euclid)
- **2** Euler's Polyhedron formula: V + F E = 2

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Carl Friedrich Gauss (1777-1855) was a young prodigy who came to the attention of the Duke of Brunswick who supported his attendance at what is now the Technical University of Braunschweig,



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In 1801, he published his masterpiece, *Disquisitiones Arithmeticae* on number theory and also in that year gained great fame for the following:



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He spent his career as the observatory directory and, in addition to his "pure mathematics", developed techniques in magnetism, geodesy, and potential theory. Indeed, his work on Gaussian curvature and Gauss' law (on div and integrals) had roots in this applied work.



Gauss' mathematical contributions are staggering.

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Perhaps more impressive is Gauss' work which was either never published



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- In 1832, writing to János Bolyai's father about the son's discovery of non-Euclidean geometry, Gauss said he'd known of it for 35 years.



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Krein, Noether, Thomson **3** Gauss discovered what is now called the Fast Fourier Transform even before Fourier's work on the not-fast Fourier transform.



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Krein, Noether, Thomson Gauss discovered the invariant measure for the Gauss map x → {1/x} (unpublished, but not rediscovered before his complete works). This appeared in an 1812 letter to Laplace which also stated without proof the connection to asymptotics of continued fraction expansions.



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But somehow that hasn't been enough and there have been persistent stories that his attitude is connected to the reception that his masterpiece *Disquisitiones Arithmeticae* got from the French Academy. W.W. Rouse Ball (1850-1925) claimed in a history of mathematics that Gauss submitted the manuscript in 1800 to the French Academy and they rejected it with a snide description of the work.





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Krein, Noether, Thomson "It is well known that the reluctance of Gauss to publish his discoveries was due to the rejection of his Disquisitiones arithmeticae by the French Academy, the rejection being accompanied by a sneer which, as Rouse Ball has said, would have been unjustifiable even if the work had been as worthless as the referees believed.


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So much for the impact of not publishing!



Let's play one more round. The next three greats aren't so clear, but for me, they are Newton, Hilbert and Poincaré.

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His greatest mathematical discovery was fluxions (aka calculus) but there was also the binomial theorem for fractional powers, repeating divided differences, and classification of cubics.



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Nature and nature's laws lay hid in night; God said "Let Newton be" and all was light. to which Sir John Squire (1884–1958) added It could not last; the Devil shouting "Ho! Let Einstein be!" restored the status quo.





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Hilbert was very successful although not as successful as he hoped.

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Because of his background in algebra, he stated everything in terms of quadratic forms, not operators.



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Henri Poincaré (1854–1912) was not only a mathematician but also a theoretical physicist and philosopher of science.

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Krein, Noether, Thomson "At that moment I left Caen where I then lived, to take part in a geological expedition organized by the École des Mines. The circumstances of the journey made me forget my mathematical work; arrived at Coutances we boarded an omnibus for I don't know what journey.



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Krein, Noether, Thomson "At that moment I left Caen where I then lived, to take part in a geological expedition organized by the École des Mines. The circumstances of the journey made me forget my mathematical work: arrived at Coutances we boarded an omnibus for I don't know what journey. At the moment when I put my foot on the step the idea came to me. without anything in my previous thoughts having prepared me for it: that the transformations I had made use of to define the Fuchsian functions were identical with those of non-Euclidean geometry. I did not verify this, I did not have the time for it, since scarcely had I sat down in the bus than I resumed the conversation already begun, but I was entirely certain at once. On returning to Caen, I verified the result at leisure to salve my conscience."



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Poincaré was the founder of modern algebraic topology. Following up on work of Schwarz and Klein, he formalized the theory of covering spaces and defined the fundamental group. He invented Homology theory, proved Poincaré duality and stated the Poincaré conjecture (originally as a theorem with an incorrect proof).



Independently of Einstein, he developed much of the formalism of special relativity

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In 1910 there was a big push for him to get the Nobel prize in physics. Of the 58 nominations that year, 34 mentioned Poincaré including six former prize winners.



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In 1910 there was a big push for him to get the Nobel prize in physics. Of the 58 nominations that year, 34 mentioned Poincaré including six former prize winners. Behind the scenes Mittag-Leffler used his influence, but in the end the prize went to van der Waals. It is conjectured that two factors were a preference for experiment over theory and the fact that Poincaré didn't have a single notable achievement but several.



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We end our discussion by mentioning despite the new-fangledness of his work on chaos and algebraic topology, Poincaré was often opposed to new ideas in analysis.



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Picking only three mathematicians isn't easy but the deepest ones, at least from the first half of the last century, are clearly Riesz, Szegő and von Neumann.



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Picking only three mathematicians isn't easy but the deepest ones, at least from the first half of the last century, are clearly Riesz, Szegő and von Neumann. Of course, there were Riesz brothers so I get to discuss four and up the total number to 22. Remarkably, F. Riesz was a student with Lipót Fejér (1880–1959) but the other three – M. Riesz, Szegő and von Neumann – were all students of Fejér.





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Frigyes Riesz (1880–1956) was a Jewish–Hungarian mathematician whose students included Horvath, Radó, Rényi and Sz-Nagy.





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Frigyes Riesz (1880–1956) was a Jewish–Hungarian mathematician whose students included Horvath, Radó, Rényi and Sz-Nagy. He did his studies in ETH, Zürich and Budapest and then went to Göttingen where he was very much influenced by Hilbert.





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In 1911, he was appointed to the University of Kolozsvar but in 1920, in accord with the Treaty of Trianon, Transylvania was ceded to Romania.





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In 1911, he was appointed to the University of Kolozsvar but in 1920, in accord with the Treaty of Trianon, Transylvania was ceded to Romania. The town of Kolozsvar was renamed Cluj. A new university was established in Hungary, at Szeged and the faculty from Kolozsvar invited to join. Riesz and Haar founded the Bolyai Institute there.





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Frigyes Riesz (1880–1956) was a Jewish–Hungarian mathematician whose students included Horvath, Radó, Rényi and Sz-Nagy. He did his studies in ETH, Zürich and Budapest and then went to Göttingen where he was very much influenced by Hilbert.

In 1911, he was appointed to the University of Kolozsvar but in 1920, in accord with the Treaty of Trianon, Transylvania was ceded to Romania. The town of Kolozsvar was renamed Cluj. A new university was established in Hungary, at Szeged and the faculty from Kolozsvar invited to join. Riesz and Haar founded the Bolyai Institute there. Riesz very much wanted a position in Budapest, Hungary's greatest university but there was policy of limiting Jewish professors to one in each department and Fejér was also Jewish.





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Riesz and his brother as well as Haar, Kőnig and Fejér never married and he told his student Kalmar that he shouldn't marry but instead devote his life to science.



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Riesz and his brother as well as Haar, Kőnig and Fejér never married and he told his student Kalmar that he shouldn't marry but instead devote his life to science. As one of Riesz' students reports: "*However, Kalmar did get married. This made Riesz lose his temper to some extent. For a while he was nervous and impatient to Kalmar.*



Then he calmed down. Kalmar's wife was also an able mathematician, and Riesz liked her, as all of us did.

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His 1955 textbook, *Leçons d'Analyse Fonctionnelles* with Bela Szokefalvi–Nagy was long a mainstay.



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Krein, Noether, Thomson Then he calmed down. Kalmar's wife was also an able mathematician, and Riesz liked her, as all of us did. Riesz could see that Kalmar's scientific goals had not been hurt by marriage."

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F. Riesz was a giant of functional analysis with contributions from 1907 for 30 years.



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Marcel Riesz (1886–1969) was a Jewish–Hungarian mathematician whose students included Cramér, Hille, Frostman, Thorin, Gårding, and Hörmander.




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Marcel Riesz (1886–1969) was a Jewish–Hungarian mathematician whose students included Cramér, Hille, Frostman, Thorin, Gårding, and Hörmander. He was Frigyes' younger brother and did his studies in Budapest under Fejér.





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To fans of unbounded operators, von Neumann's great 1927 paper stands out.

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I want to end my discussion of von Neumann with some fascinating history behind the von Neumann and Birkhoff ergodic theorems.



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At the beginning of October 1931, von Neumann, then in Princeton, went to New York where Koopman was on the Columbia faculty and told Koopman of his result to confirm that Koopman had not found it independently.



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Still later in October, Koopman and George David Birkhoff (1884-1944) came to Princeton for the opening of (old) Fine Hall. There, Koopman and von Neumann told Birkhoff of von Neumann's result, knowing of Birkhoff's long interest in the quasi-ergodic hypothesis.



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Krein, Noether, Thomson Within six weeks, Birkhoff had the special case of what is now called the Birkhoff or individual ergodic theorem at least when the flow came from analytic differential equations on a compact analytic manifold with invariant measure. This, too, he published in PNAS.



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The issue is that while Birkhoff was clearly motivated by von Neumann, who was first, Birkhoff was more senior, a member of the National Academy, and a good friend of the managing editor of the PNAS (who held the post for almost fifty years!), Harvard chemist, E. B. Wilson.



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Next, I turn to three personal heros: Kato, Loewner and Verblunsky.

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Löwner came to the United States and changed his name to Charles Loewner. von Neumann found him a position at the University of Louisville, then Brown and Syracuse until Szegő brought him to Stanford in 1951. As Bers (one of his students; others are Roger Horn and Adriano Garsia) said: "This was the right place for him and his family. He loved the California weather and the California nature. The house in Los Altos was the first real home the Loewners had since Prague



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Since he died, his stock has soared with greater and greater appreciation. deBranges' solution of the full Bieberbach conjecture used his ideas and then his differential equation was a part of SLE, one of the more central subjects of probability theory and statistical physics since 2000. Schramm named it SLE for Stochastic Loewner Evolution.





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Loewner's remarkable theorem on matrix monotone functions has gotten a growing fan club.



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Loewner's remarkable theorem on matrix monotone functions has gotten a growing fan club. For example, I am writing a monograph on the subject which I describe as a love poem to Loewner's Theorem.



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Samuel Verblunsky (1906–1996) was born in London on June 25, 1906. His father was a tailor of Jewish-Polish extraction.

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Verblunsky spent most of his career at Queen's University, Belfast.

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Krein, Noether, Thomson It was hard going, but as I absorbed the papers, it became clear that there was an enormous number of ideas in these papers that had become important, but then forgotten and later rediscovered!



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The recursion parameters for OPUC didn't have a standard name – the most common was reflection coefficients which was awful. Verblunsky didn't have the recursion relation but had a set of natural coefficients which turn out to be the same, so I called them Verblunsky coefficients.



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Since then there are more than 110 MathSciNet references to Verblunsky's Theorem or Coefficients. So I guess not only is Verblunsky a personal favorite of mine, I must be personal favorite of his.



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Krein, Noether, Thomson History of 20^{th} century mathematics cannot avoid facing the horror of the impact of the Nazi and Communist systems.



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Otto Blumenthal was Hilbert's first research student at Göttingen and spent most of his career at Aachen. He is noted for having figured out that the key to Joukowsky's work in aerodynamics was the map $z \mapsto z + z^{-1}$ that now bears Joukowsky's name.



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that now bears Joukowsky's name. After being dismissed from his positions in Germany, including managing editor of Mathematische Annalen, he fled to the Netherlands but after the German conquest of that country he wound up in concentration camps.



Pick was Viennese born there with his PhD. from their university.



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Pick is best known for solving the problem $f(z_j) = w_j$ for Herglotz functions from which we get Pick functions, Pick's Theorem, Pick matrix and Pick interpolation.





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Tauber was born in Bratislava but spent most of his adult life in Vienna. Unable to find an academic position, he worked from 1892 until 1908 for an insurance company and then spent the rest of his career as a Professor of Actuarial Science. He was arrested on June 28, 1942 and the death date of July 26, 1942 is not certain.





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Undoubtedly, Tauber is best known for a result proven in 1897. Many years before, Abel had proven $\lim_{N\to\infty}\sum_{n=1}^{N}a_n = \alpha \Rightarrow \lim_{r\uparrow 1}\sum_{n=0}^{\infty}a_nr^n = \alpha.$





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Krein, Noether, Thomson Starting 15 years later, Hardy and Littlewood proved numerous theorems where one showed a converse of an easy result under additional conditions by the name "Tauberian theorem".



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Krein, Noether, Thomson The Nazis destroyed a lot more lives than those they murdered in the camps. Two of this trio are representative of what happened and the third involves the Soviet system.



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Edmund Landau (1877-1938) was a Jewish German mathematician.



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Krein, Noether Thomson Landau's first major result was his 1903 proof of the prime number theorem, first proven by Hadamard and de la Vallée-Poussin independently in 1896. Not only was his proof much simpler but the earlier proofs required that the zeta function with the pole removed was entire while Landau's proof (and most since) only needed analyticity in a neighborhood of the closed half plane $\operatorname{Re}(z) \geq 1$. He also made fundamental contributions to the direct proofs of Picard's theorem



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In the early 1920's Landau was a supporter of the idea of establishing a Hebrew University in Jerusalem and he considered immigrating to Palestine so much so that he taught himself Hebrew and gave a talk in Hebrew at the dedication of the Math Institute there in 1925.



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In the early 1920's Landau was a supporter of the idea of establishing a Hebrew University in Jerusalem and he considered immigrating to Palestine so much so that he taught himself Hebrew and gave a talk in Hebrew at the dedication of the Math Institute there in 1925. He began negotiating with Judah Magnes (1877-1948)





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Krein, Noether Thomson Returning to Germany at the end of 1928 was not such a wise move. Hitler came to power on Jan. 30, 1933 and by April 7, there was a law in place allowing the removal of Jewish teachers from Universities. On Nov. 2, 1933, Landau tried to give his first lecture of the fall quarter. Teichmüller objected to the teaching of Jewish calculus rather than Aryan calculus and organized student members of the SA who prevented any students from entering the lecture hall.



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Among the other German Jewish mathematicians fired from their jobs and unable to find suitable jobs outside Germany (although both emigrated to Palestine) were Schur and Toeplitz.



Kőnig



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Dénes Kőnig (1884–1944) was born and got his degrees in Budapest.



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Dénes Kőnig (1884–1944) was born and got his degrees in Budapest. He is regarded as one of the founders of modern graph theory.


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Dénes Kőnig (1884–1944) was born and got his degrees in Budapest. He is regarded as one of the founders of modern graph theory. While his family was Jewish, he was raised a Christian so he did not feel too vulnerable during the first part of the War



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Friedrich Hartogs (1874-1943), a founding father of the theory of several complex variables



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Friedrich Hartogs (1874-1943), a founding father of the theory of several complex variables and Felix Hausdorff (1868-1942), the founder of point set topology and Hausdorff dimension also committed suicide rather than get shipped off to camps (both by overdoes of barbiturates).



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The Russians were also evil!





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The Russians were also evil! Jósef Marcinkiewicz (1910–1940), a Polish mathematician, a student of Antoni Zygmund (1900–1992), is best known for the Marcinkiewicz interpolation theorem. It was announced in 1939.





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the details, the Second World War broke out. Marcinkiewicz was a Polish nationalist and, despite the fact that his colleagues in England, where he was working, urged him to stay, he returned to Poland to take up his commission as an officer in the Polish army reserves.



He was captured by the Russians and taken to a POW camp.

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Black Sea.

Mark Grigorievich Krein (1907–1989) was a Jewish Ukrainian mathematician born in Kiev. In 1924, he ran away to the University in Odessa and except for a brief period of evacuation during the Second World War, spent the rest of life in Odessa, a town on the



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He got his degree in 1929 and in the 1930's, he ran a world center of functional analysis out of the University of Odessa collaborating often with his friend Naum Akhiezer (1901-1980) who was based in Kharkiv.



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Krein worked in a wide array of specialties: convex sets in Banach spaces, orthogonal polynomials, moment problems, Banach algebras and representation theory, prediction theory, operator algebras, self-adjoint extension theory, trace class scattering theory, Toeplitz operators, J contractive functions and trace ideals.



In each of these areas, he wrote seminal papers.

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In 1939, he was made a corresponding member of the Ukrainian Academy of Sciences but never a full member.



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In 1939, he was made a corresponding member of the Ukrainian Academy of Sciences but never a full member. He won the 1982 Wolf Prize (but he couldn't attend the prize ceremony) and, in 1979, he was made a foreign member of the US Academy of Sciences.



I end my discussion of Krein with two funny stories.

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Krein, Noether, Thomson I end my discussion of Krein with two funny stories. Gohberg was a coauthor of several books with Krein including a wonderful one on trace ideals.



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Krein, Noether, Thomson I end my discussion of Krein with two funny stories. Gohberg was a coauthor of several books with Krein including a wonderful one on trace ideals. Like F. Riesz, Krein kept wanting to expand and change the scope. Gohberg explained the following true story became something of a joke in Krein's circle.



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In 1981, I visited Moscow and Leningrad and I was told the following joke in both places.



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Krein, Noether, Thomson Towards the end of their visit, the Academy President approaches the American head and, quite nervously, exclaims: *"We wonder what you think of our Academy?"*



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Emmy Noether (1882–1935) was a German Jewish mathematician. Her great–grandfather, Elias Samuel, was forced to change his name by a Napoleonic edict and her grandfather's name changed from Hertz Samuel to Hermann Nöther. Later her father, Max, changed the spelling to Noether.



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She continued to write papers in Erlangen with no official connection to the University until 1916 when she was invited to Göttingen.



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Einstein wrote in a letter to Hilbert:

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It must be emphasized that this idea has been a touchstone of modern theoretical physics. Once quantum mechanics was discovered and Poisson brackets were replaced by commutators, the theorem shone even brighter and symmetry became a basic building block of new discoveries in particle physics. As one physicist put it: *"Noether's theorem to me is as important a theorem in our understanding of the world as the Pythagorean theorem."*



I am not alone in having been profoundly influenced by this theorem.

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Noether had always lived on a meager stipend

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He has two notable mathematical contributions. One is that together with Gauss and Green, he was key participant in an understanding in the higher dimensional analogs of the fundamental theorem of calculus.



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Krein, Noether, Thomson In a postscript to a letter (dated July 2, 1850) that Thomson wrote to an academic friend at Cambridge, George Stokes (1819–1903), he mentioned the theorem but neither gave a proof of it nor mentioned Green's related work.



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His other main mathematical contribution involves the basics of potential theory and harmonic functions.

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Thomson published over 600 papers, was elected to the Royal Society in 1851 (when he was 27) and served as its President from 1890-1895. Naming harmonic functions is kinda neat and he sounds like he had impressive credentials but you may be puzzled why I picked as my final choice someone you've probably never heard of and who doesn't seem in a league with the other 21.



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Final Thoughts

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Krein, Noether, Thomson I hope you've learned that our forefathers are fascinating as people and that you'll consider using Mr. Google and Ms. Wikipedia to look up the names you find on theorems.



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